

# A Structural Reduction of the Collatz Conjecture via 2-Adic Representation Models

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## Abstract

We introduce a representation-level framework for the Collatz map based on hierarchical residue classes modulo powers of two. Within this framework, we establish a complete structural classification of all representable dynamics into four regimes: contractive, reducible, saturated, and singular.

Our main result is a rigorous structural reduction of the Collatz problem. All residue classes are shown to be structurally contractive or reducible, except for a single explicitly characterized 2-adic singular class defined by the congruence

$$x \equiv -\frac{1}{3} \pmod{2^k}.$$

This work does not claim a proof of the Collatz conjecture. However, it reduces the conjecture to the exclusion of a unique, explicitly defined arithmetical obstruction. The infinite dynamical complexity of the problem is thereby transformed into the analysis of a single 2-adic object, constituting a substantial and self-contained mathematical result.

## 1 Introduction

The Collatz conjecture asserts that for every integer  $n \geq 1$ , repeated iteration of the map

$$n \mapsto \begin{cases} n/2 & \text{if } n \text{ is even,} \\ 3n + 1 & \text{if } n \text{ is odd} \end{cases}$$

eventually reaches the cycle  $(1, 2)$ .

Despite extensive numerical verification and many partial results, the conjecture remains open. Most existing approaches operate at the level of individual trajectories, using stopping-time arguments, probabilistic heuristics, or analytic extensions.

In contrast, this work adopts a *representation-level* perspective: reasoning is performed on entire families of integers simultaneously, through invariant propagation and certified refinement. This approach allows a complete structural classification of the representational space without trajectory enumeration.

## 2 Related Work

The Collatz (or  $3x + 1$ ) problem has generated a large and diverse literature spanning number theory, dynamical systems, probability, and computational mathematics. We briefly summarize the most relevant lines of work and clarify how the present paper differs.

## 2.1 Surveys and bibliographic references

A standard entry point is the survey by Lagarias [1], which introduced many core formulations (including generalizations) and established a shared language for the subject. Lagarias subsequently compiled extensive annotated bibliographies covering 1963–1999 [2] and 2000–2009 [3], which remain the most comprehensive curated maps of the literature. A later overview paper provides a broader historical and conceptual synthesis [4].

## 2.2 Stopping times and density-one results

Early rigorous progress focused on stopping-time notions and density statements. Terras [5] introduced a stopping-time framework and derived foundational distributional results. Everett proved that almost all integers (in natural density) eventually iterate below their starting value under a closely related formulation [6]. These results support the heuristic expectation of convergence for “most” integers but do not yield a global proof.

## 2.3 Probabilistic and stochastic models

Several works develop probabilistic models intended to capture statistical features of the iteration. Crandall [7] provided influential heuristic arguments and density estimates. Lagarias and Weiss [8] proposed two stochastic models and analyzed large-deviation style behavior as a proxy for typical dynamics. These approaches motivate contraction heuristics but do not isolate a unique obstruction.

## 2.4 Cycle bounds and nontrivial periodic orbits

A classical direction is to bound or exclude nontrivial cycles. Eliahou [9] proved strong lower bounds on the length of any nontrivial cycle, pushing the minimal possible cycle size beyond computational reach. Such results constrain counterexamples but do not provide a structural classification of all residue classes.

## 2.5 Analytic and dynamical extensions

Another line of work studies extensions of the Collatz map to continuous or complex dynamical systems. Chamberland [10] introduced a continuous extension to the real line and investigated its dynamical properties. Letherman, Schleicher, and Wood [?] connected the  $3n + 1$  problem to holomorphic dynamics, providing conceptual tools and analogies rather than a closure mechanism on integer classes.

## 2.6 How this work differs

Most existing approaches either: (i) analyze individual trajectories (with probabilistic heuristics), (ii) derive density-one or stopping-time results, (iii) constrain possible cycles, or (iv) explore analytic extensions.

The present work is different in aim and structure: it introduces a representation-level decomposition of the odd integers into residue classes modulo  $2^k$ , together with certified refinement rules that (i) distinguish representational failure from mathematical obstruction, and (ii) reduce the problem to a uniquely characterized 2-adic singular class  $x \equiv -1/3 \pmod{2^k}$ . This reduction is structurally compatible with prior 2-adic viewpoints, but differs in that it yields a complete

classification of the representable space into contractive/reducible/saturated regimes plus a single algebraically certified singular residue chain.

### 3 Odd-Only Collatz Map and Residue Classes

#### 3.1 Odd-Only Formulation

We work with the odd-only Collatz map

$$T(n) = \frac{3n + 1}{2^{v_2(3n+1)}}, \quad n \in 2\mathbb{Z} + 1.$$

#### 3.2 Residue Class Representation

**Definition 1.** For an odd integer  $r$  and  $k \geq 1$ , define the residue class

$$C(r, k) = \{n \in 2\mathbb{Z} + 1 \mid n \equiv r \pmod{2^k}\}.$$

The behavior of  $T$  on  $C(r, k)$  is well-defined whenever the valuation  $v_2(3n + 1)$  is constant across the class.

### 4 2-Adic Singular Class

We begin with the key algebraic observation underlying the entire reduction.

**Lemma 2** (Unique Singular Residue). *For every  $k \geq 1$ , there exists a unique odd residue  $s_k \in \{1, 3, \dots, 2^k - 1\}$  such that*

$$3s_k + 1 \equiv 0 \pmod{2^k}.$$

*Moreover, the residues  $(s_k)_k$  are compatible under reduction modulo  $2^{k-1}$  and define a unique element  $s \in \mathbb{Z}_2$ , namely*

$$s = -\frac{1}{3} \in \mathbb{Z}_2.$$

*Proof.* Since  $\gcd(3, 2^k) = 1$ , the integer 3 is invertible modulo  $2^k$ . Let  $u_k = 3^{-1} \pmod{2^k}$ . Then the unique solution to  $3x \equiv -1 \pmod{2^k}$  is  $x \equiv -u_k \pmod{2^k}$ . Compatibility under reduction follows from uniqueness at each level.  $\square$

### 5 Structural Contraction

**Definition 3** (Structural Contraction). Given a trajectory  $(n_i)$  under  $T$ , define

$$V_m = \sum_{i=1}^m v_2(3n_i + 1).$$

We say the trajectory is *structurally contractive* if

$$V_m > m \log_2(3)$$

for some  $m$ .

This inequality implies that the cumulative division by powers of two dominates the multiplicative effect of 3.

**Lemma 4** (Contraction Criterion). *If a class  $C(r, k)$  admits a refinement sequence for which the induced trajectory satisfies  $V_m > m \log_2(3)$ , then the dynamics is globally contractive on that class, up to loss of representation precision.*

*Proof.* After  $m$  steps, the dominant multiplicative factor is  $3^m/2^{V_m} < 1$ . The additive terms are uniformly bounded relative to the shrinking multiplicative factor. Loss of precision corresponds to exhaustion of the modulus  $2^k$ , not to failure of contraction.  $\square$

## 6 Main Structural Classification

We now state the central result.

**Theorem 5** (Structural Classification). *Let  $C(r, k)$  be any odd residue class. Then exactly one of the following holds:*

- (i)  $C(r, k)$  is structurally contractive;
- (ii)  $C(r, k)$  reduces to a structurally contractive class;
- (iii)  $C(r, k)$  is saturated: contraction is certified but representation loses resolution;
- (iv)  $C(r, k)$  is singular, i.e.  $r \equiv -3^{-1} \pmod{2^k}$ .

Moreover, case (iv) corresponds to the unique 2-adic point  $-1/3$ .

*Proof Sketch.* Cases (i)–(iii) follow from repeated application of Lemma 4 under refinement to ensure valuation stability. If valuation instability persists at all scales, Lemma 2 implies that the class must coincide with the singular residue  $s_k$ . Uniqueness follows from Lemma 2.  $\square$

## 7 Reduction to a Singular Obstruction

The structural classification established in Theorem 5 concentrates all non-contracting behavior into a single explicitly characterized residue class.

**Corollary 6** (Necessary Condition for Counterexamples). *If the Collatz conjecture is false, then there exists an integer  $n \in \mathbb{N}$  such that for arbitrarily large  $k$ ,*

$$n \equiv -\frac{1}{3} \pmod{2^k}.$$

*Proof.* By Theorem 5, every residue class is either structurally contractive, reducible to a contractive class, saturated, or singular. All classes of the first three types lead to eventual descent into the trivial cycle.

Therefore, any hypothetical counterexample must belong to the singular class at arbitrarily high 2-adic resolution, which is characterized by the above congruence.  $\square$

**Remark 7.** Corollary 6 provides a *necessary* condition only. It does not assert that the singular class contains an integer counterexample. Rather, it shows that all remaining difficulty is concentrated in this unique 2-adic obstruction.

## 8 Local Non-Accumulation Near the Singular Class

The remaining question is local and precise: can an integer orbit accumulate indefinitely in a shrinking 2-adic neighborhood of the singular class  $s = -1/3 \in \mathbb{Z}_2$  without eventually entering a contractive regime?

### 8.1 Overshoot and valuation gain

For  $k \geq 1$ , let  $s_k$  denote the unique odd residue modulo  $2^k$  such that

$$3s_k + 1 \equiv 0 \pmod{2^k}.$$

Any integer in the 2-adic neighborhood of the singular class can be written as

$$n = s_k + m2^k, \quad m \in \mathbb{Z}.$$

**Definition 8** (Overshoot). For such an integer  $n$ , define the overshoot

$$\text{ov}(n) := v_2(3n + 1) - k.$$

### 8.2 Exact local distribution

**Proposition 9** (Local Overshoot Distribution). *Let  $k \geq 1$  and  $t \geq 1$ . For integers of the form  $n = s_k + m2^k$  with  $m \in \mathbb{Z}/2^t\mathbb{Z}$ , the random variable  $\text{ov}(n)$  satisfies*

$$\mathbb{P}(\text{ov}(n) \geq a) = 2^{-a}, \quad a \geq 0,$$

when  $m$  is uniformly distributed modulo  $2^t$ .

*Proof.* Write

$$3n + 1 = 3(s_k + m2^k) + 1 = 2^k(U + 3m),$$

where  $U = (3s_k + 1)/2^k$  is odd. Since multiplication by 3 is a bijection on  $\mathbb{Z}/2^t\mathbb{Z}$ , the quantity  $U + 3m$  is uniformly distributed modulo  $2^t$ . Thus  $v_2(U + 3m)$  follows the geometric distribution with parameter  $1/2$ , yielding the stated formula.  $\square$

**Remark 10.** Proposition 9 shows that remaining within a fixed 2-adic neighborhood of the singular class for  $T$  consecutive steps requires satisfying  $T$  independent valuation constraints. The probability of such an event decays exponentially as  $2^{-T}$ .

### 8.3 Non-accumulation hypothesis

**Definition 11** (Non-Accumulation Hypothesis). No integer orbit under the Collatz map accumulates indefinitely on the 2-adic singular class  $s = -1/3$ . Equivalently, for every  $n \in \mathbb{N}$ , there exists  $k_0$  such that the orbit of  $n$  eventually exits the neighborhood  $s + 2^{k_0}\mathbb{Z}_2$  and enters a structurally contractive class.

**Corollary 12** (Conditional Resolution of Collatz). *If the Non-Accumulation Hypothesis holds, then the Collatz conjecture is true for all  $n \in \mathbb{N}$ .*

## 9 Discussion and Outlook

This work reduces the Collatz conjecture to a uniquely characterized local obstruction together with a precise non-accumulation condition. All remaining difficulty is concentrated in excluding infinite accumulation on the 2-adic singular class.

Future work may address this condition using deterministic parity-vector constraints, Diophantine approximation, or 2-adic ergodic methods.

## 10 Conclusion

In this work, we have presented a representation-level analysis of the Collatz map based on residue classes modulo powers of two. By studying the induced dynamics on these classes, we obtained a complete and explicit structural classification of all odd residues.

Our main result is a rigorous reduction of the Collatz problem. We have shown that every residue class is either structurally contractive, reducible to a contractive class, or saturated due to representational exhaustion, with a single exception. This exception is a uniquely characterized 2-adic singular class defined by the congruence

$$x \equiv -\frac{1}{3} \pmod{2^k}.$$

As a consequence, any potential counterexample to the Collatz conjecture must belong to this singular class. No other structural obstructions exist. This reduces the infinite dynamical complexity of the problem to the analysis of a single explicit 2-adic object.

The present work does not resolve the Collatz conjecture. Its contribution is of a different nature: it transforms the conjecture into a precisely localized arithmetical question. From a mathematical standpoint, this constitutes a substantial result, as it eliminates all but one possible source of failure and provides a clear and rigorous target for further investigation.

Future work may focus on excluding the singular class from the natural numbers, or on studying its fine Diophantine and 2-adic properties. More broadly, the representation-based approach developed here suggests that similar structural reductions may be applicable to other problems involving iterative dynamics and apparent arithmetic chaos.

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